

**LAWYERS PROFESSIONAL LIABILITY  
PRELIMINARY PREMIUM INDICATION WORKSHEET**

Please provide the information below and email to [rudyee@circadianbrokers.com](mailto:rudyee@circadianbrokers.com) or fax it to (866) 356-4429

**Call us toll free with any questions at (877) 417-7171**

Firm Name: \_\_\_\_\_ County: \_\_\_\_\_

Address: \_\_\_\_\_ City: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_

Date Established: \_\_\_\_\_ # of Attorneys: \_\_\_\_\_ # Of Counsels: \_\_\_\_\_ # Support Staff: \_\_\_\_\_

# Attorneys (excl OCs) and years with applicant:		Current Insurance	
# of years	# of attorneys	Carrier:	
5+ years		Limits / Deductible:	
4 years		Annual Premium:	
3 Years		Retroactive Date:	
2 Years		Policy Period:	
1 Year		Date of first continuous claims-made coverage :	
Less than 6 months		Gross Annual Income:	

**1. Internal Controls**

- a. Do you maintain a Docket Control System with at least two independent date controls? \_\_\_Yes \_\_\_No
- b. Are engagement and non-engagement letters used on a regular basis? \_\_\_Yes \_\_\_No
- c. Is a Conflict of Interest System maintained? \_\_\_Yes \_\_\_No
- d. Number of fee suits filed against clients in the past two (2) years: \_\_\_\_\_
- e. How many attorneys have participated in CLE during the past twelve months? \_\_\_\_\_

**2. Claim History**

Are you aware of any claims against your firm, or any incidents that could result in a claim against your firm, within the past seven (7) years? \_\_\_Yes \_\_\_No ..... If "YES", how many? \_\_\_\_\_  
*Provide specific details of each (a description of the allegations, current reserve, indemnity paid, expenses paid, etc...)*

**3. Disciplinary Actions**

Has any member of the applicant firm been refused admission to practice, disbarred, suspended, reprimanded, sanctioned, or held in contempt by a court administrative agency or regulatory body? \_\_\_Yes \_\_\_No  
*Provide specific details of each (date, details of the events that led to the action, and the action taken).*

**Area of Practice Percentages (percentages must total 100%)**

DEFENSE	%	Abstracting / Title	Health
Arbitration / Mediation		Ad Valorem Tax – Commercial	Immigration
BI/PI		Ad Valorem Tax – Residential	International
Civil Rights / Employment		Administrative Law	Investment Cnslng / Money Mgt
Class Action / Mass Tort		Adoptions	Labor – Employee / Union
Commercial Litigation		Antitrust Trade Regulations	Limited Partnerships
Criminal		Banking / Financial Institutions	Local Government / Municipal
Insurance Company		Bankruptcy	Mergers & Acquisitions
Medical Malpractice		Collection / Repossession	Oil and Gas
Workers Compensation		Communication	Patent
		Construction	Private Placements
<b>PLAINTIFF</b>		Copyright	Public Utilities
BI/PI Plaintiff		Corporation Formation	Real Estate – Commercial
Civil Rights / Employment		Corporate General	Real Estate – Development
Class Action / Mass Tort		Divorce	Real Estate – Residential
Commercial Litigation		Entertainment	Securities or Bonds
Medical Malpractice		Environmental	Social Security
Workers Compensation		Estate Planning	Syndications
		ERISA	Trademark
TAX – Individual Preparation		Family Law (other than Divorce)	Venture Capital
TAX – Commercial Preparation		Fiduciary	Wills and Trusts
TAX – Opinions		Foreclosures	Other:

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